PATENT 10/022,165 264

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

: Group Art Unit: 2643

Intellectual Property Michael W. Brown et al. :

Law Department Serial No:10/022,165

International Business Filed: 12/17/2001

Machines Corporation Title: Providing Account Usage :

11400 Burnet Road Fraud Protection

Austin, Texas 78758

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents,

Washington, D. C. 20231. April 3, 2002

INFORMATION DISCLOSURE STATEMENT

APR 0 9 2002

Technology Center 2600

Assistant Commissioner of Patents 20231 Washington, D. C. Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(b) the filing of Information Disclosure Statement shall not this construed to mean that a search has been made or that no

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other material information as defined in 37 CFR 1.56 (a) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

In the event a few is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

Cynthia S. Byrd

Attorney

Registration No. 39,365

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In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE

STATEMENT

+**1

Serial No. 10/022/65
Applicant: Brown et al.

Filing Date: 12/17/200/ Group: 2643

Atty. Docket No. AUS92001084 US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner <u>Initial</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>	Class	Sub- class	Filing Date if Appropriate
AA	US 5,499,288	3/12/96	Simultaneous Voice Recognition and Verification to Allow Access to Telephone Network Services	379	88	3/22/94
AB	US 5,673,404	09/30/97	End-User Customizable Feedback Display for Windowed Applications	395	347	12/20/95
AC	US 5,790,637	8/4/98	Extended Voice Messaging	379	67	2/16/96
AD	US 5,915,001	6/22/99	System and Method for Providing and Using Universally Accessible Voice and Speech Data Files	379	88.22	11/14/96
AE	US 5,940,476	8/17/99	System and Method for Identifying an Unidentified Caller	379	88.02	6/28/96
AF	US 5,946,654	8/31/99	Speaker Identification Using Unsupervised Speech Models	704	246	2/21/97
AG	US 6,038,305	3/14/00	Personal Dial Tone Service with Personalized Caller ID	379	207	8/1/97
AH	US 6,058,364	5/2/00	Speech Recognition of Customer Identifiers Using Adjusted Probabilities Based on Customer Attribute Parameters	704	252	11/20/97
AI	US 6,101,242	8/8/00	Monitoring for Key Words with SIV to Validate Home Incarceration	379	88.02	12/23/97

AJ US 6,122,357 9/12/00 Provising Enhanced 379 207 4/8/98
Services Through Double
Services Through Double
Tone

AK US 6,178,230 1/23/01 System and Method for 379 67.1 11/13/97
Identifying a Callee of an Incoming Telephone

Call

miner tial	Document Number	Date	Country	Class	Subclass	Translation
AL	JP 10294784A	11/04/98	Japan	HO4M1	57	No
AM	JP 8139797A	05/31/96	Japan	HO4M1	274	No
AN	EP 0585004A2	03/02/94	European	но4м3	44	Yes
miner —	EP 0676882A2	10/11/95	European or, Title, D	HO4M1	27	Yes es, Etc.)
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OT miner tial AP	HER ART (Inclu WPAT D	nding Author	or, Title, D			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.